			AGENCY CUSTOMER ID:								
_		LOC #: BLDG #:									
ACORD®	CF	RIME SEC	TION 2000		DATE (MM/DD/YYYY)						
AGENCY			CARRIER		NAIC CODE						
POLICY NUMBER		EFFECTIVE DATE	APPLICANT (FIRST NAMED INSURED)								
COVERAGE BASIS FOR	R COVERAGE:	DISCOVERY	LOSS SUSTAINED								
COVERAGE	LIMIT	DEDUCTIBLE	COVERAGE	LIMIT	DEDUCTIBLE						
EMPLOYEE THEFT			INSIDE THE PREMISES								
BLANKET SCHEDULE	\$		ROBBERY OR BURGLARY OF OTHER PROPER	TY							
ERISA	\$	N/A	BLANKET SCHEDULE	\$							
AGGREGATE	\$		OUTSIDE THE PREMISES								
ERISA EXCESS AMOUNT OVER BLANKET LIMIT	\$		MONEY AND SECURITIES	\$							
TOTAL ASSET VALUE	\$		OTHER PROPERTY	\$							
TOTAL ASSET VALUE (Per Plan)	\$		BLANKET SCHEDULE								
EMPLOYEE THEFT GOVERNMENTAL CRIME  BLANKET SCHEDULE			COMPUTER FRAUD	\$							
PER LOSS PER	\$		FUNDS TRANSFER FRAUD	\$							
FORGERY OR ALTERATION	_		MONEY ORDERS AND COUNTERFEIT PAPER CURRENCY								
INSIDE THE PREMISES	\$			\$							
THEFT OF MONEY AND SECURITIES				s							
BLANKET SCHEDULE	s			•							
COVERAGE ENDORSEMENTS (Attac	l *	ditional Pomarks	Schodulo if more space is required	1/							
OOVERAGE ENDORGEMENTO (Attac	II AOORD TOT, AU	anional Nemarks	o concaule, il more space is required	4)							
ERISA EMPLOYEE THEFT - ADDITIO	NAI INFORMATIO	N									
NAME OF PLAN		AL ADDRESS	1	NUMBER OF TRUSTEES,	NUMBER OF						
			F	EMPLOYEES, ETC IANDLING PLAN ASSETS	PLAN PARTICIPANTS						
IS THERE A LICENSED SECURITIES FIRM RESPON FOR INVESTING OF FUNDS UNDER PLAN(S)? (Y/											
GENERAL INFORMATION											
EXPLAIN ALL "YES" RESPONSES					Y/N						
1. ARE VOLUNTEERS USED? (If "YES", # of	volunteers):	_									
2. ANY EMPLOYEES LEASED TO OTHERS?	(If "YES" give number	r and explain) # Ol	F EMPLOYEES LEASED TO OTHERS:								
2. ANY EMILECTEES ELACED TO OTHERO:	(ii TEO , give number	and explain) # Of	EWI EGTEEG EE/IGEB TO GTHERO.	_							
3. ANY EMPLOYEES LEASED FROM OTHER	RS? (If "YES", give num	nber and explain)	# OF EMPLOYEES LEASED FROM OTHERS	 S:							
	, , ,	, ,									
4. ANY EMPLOYEES PERFORM MONEY INV	/ESTING OR TRADING										
5. ANY EMPLOYEES RECEIVE OR ISSUE W	AREHOUSE RECEIPT	S?									
6. ANY EMPLOYEE(S) BEEN CANCELLED F	OR CRIME COVERAG	E BY ANY INSURER	? (Missouri Applicants - Do not answer this	s question)							
7. DOES APPLICANT HAVE ANY WRITTEN A	AGREEMENTS WITH C	CLIENTS?									
8. DOES APPLICANT TRANSFER ANY FUND	S VIA PHONE OR FAX	X?									
9. ANY EXPOSURE FROM LOSS TO GUEST	PROPERTY?										

CLA	SSIFICATION OF EMPLOYEES	/ LOC	ATIONS					LO	C #:		BLDG #:	
	LIST ALL OFFICERS AND EMPL HANDLE OR HAVE CUSTODY O	OYEES F MONE	(Including the			PĖRTY, II	NCLUD			POSITIONS	S LISTED BELOW:	
NUMB	ER OF:	NUMBE				NUMBE				NUMBER		
	ACCOUNTANTS AND ASSTS		COLLECTOR	S			LOCK	ER ROOM AT	TENDANTS		STOCK CLERKS	
	ADJUSTERS		COMPUTER I	PROGR	AMMERS		MAITE	RE D'S AND AS	SSTS		STOREKEEPERS	
	ADMINISTRATORS AND ASSTS		COMPTROLL	ERS AN	ND ASSTS		MANA	GERS AND AS	SSTS		STOREROOM PERSONNEL	
	APPRAISERS AND CLERKS ACTING AS APPRAISERS		CREDIT CLE	RKS AN	ID MANAGERS		MEDIO	CAL DIRECTO	RS		SUPERINTENDENTS AND ASS	STS
	ATTORNEYS		CUSTODIANS	S			MESS	ENGERS, OU	TSIDE .		SUPERVISORS AND ASSTS	
	AUDITORS AND ASSTS		DELIVERY PE		9		1	OLL DISTRIBL			TAXI DRIVERS	
			1		O		1				TEACHERS HAVING CUSTOD	Y
	BOOKKEEPERS DEMONSTRATORS PURCHASING AGENTS AND ASSIS OF MONEY OR SECURITI											
	BUS DRIVERS		DIETITIANS V				_	IVING CLERKS	S RS OF OIL COS		TIMEKEEPERS AND ASSTS	
	BUYERS AND ASSTS CANVASSERS		DRIVERS AN	D DRIV	ERS' HELPERS		HĀND	LING REFINE	GASOLINE& OII	.s	TRUCK DRIVERS	
	(Door-to-door salespeople)		FOOD INSPE	CTORS	;		SALES	SPEOPLE			WAREHOUSE PERSONNEL	
	CASHIERS AND ASSTS		HEAD PHARM				SECU	RITY PERSON	INEL		WINE CELLAR PERSONNEL	
	CHAIRPERSONS		INSTRUCTOR OF MONEY C	RS HAV	ING CUSTODY URITIES		SERV	ICE STATION	ATTENDANTS		WINE STEWARDS/ESSES	
	CHEFS WHO ORDER FOOD		JANITORS				SHIPE	ING CLERKS			ALL OTHER OFFICERS AND EMPLOYEES NOT LISTED ABO	)/F
NUMB OFFIC	ER OF TOTAL NUMBER OF		MANUF	ACTUR	ERS, PROCESSOR ORS; NUMBER OF	S, WHOLE			ALL OTHER	CLASSES;	NUMBER OF LOCA- DME OR HEAD OFFICES:	JVL
	NG PRACTICES		OR DIST	KIBUT	UKS; NUMBER OF	KETAILL	OCATIO	NO:	TIONS OTH	EK IHAN HC	JME OR HEAD OFFICES:	
												Y/N
NUEX	PLANATION REQUIRED											1 / N
1. IS	PRIOR EMPLOYER HISTORY CHECK	ED?										
2. IS	EDUCATION AND TRAINING VERIFIE	D?										
	DRUG TESTING CONDUCTED?											
4. IS	A FORMAL TRAINING PROGRAM ES	TABLISH	HED AND FOL	LOWE	ED?							
5. AF	RE CREDIT CHECKS SECURED FOR I	EMPLOY	EES WITH A	CCESS	S TO FINANCIAL	TRANS	ACTION	IS?				
6. AF	RE SOCIAL SECURITY NUMBERS VER	RIFIED?										
7. IS	CRIMINAL HISTORY CHECKED?											
	RE MANAGERS PROVIDED WITH NAM			OF ALL	ASSIGNED EM	PLOYEE	S?					
	TROLS AND AUDIT PROCEDU											- V (N
	PLANATION REQUIRED UNLESS STATED (	THERWI			I			1				Y/N
1. A	UDIT IS PERFORMED BY:		СРА		PUBLIC ACCOUN	NTANT		STAFF				
2. N	IAME AND ADDRESS OF PERSON OF	K FIRIVI F	PERFORMING	3 AUDI	ı							
3. C	ATE OF COMPLETION OF LAST AUD	IT OF C	ASH & ACCO	UNTS:			DATE	OF COMPL	ETION OF LAS	T AUDIT O	F INVENTORY:	
4. A	UDIT FREQUENCY?		ANNUAL		SEMI-ANNUAL	QUA	RTERLY	/				
5. A	UDIT REPORT IS RENDERED TO:		OWNER		PARTNERS	BOA	RD OF I	DIRECTORS				
6. F	INANCIAL FORMAT IS:		AUDIT		REVIEW	CON	//PILATIO	ON .	TAX RETU	RN ONLY		
	RE ALL LOCATIONS AUDITED?											
8. 19	S AUDIT MADE IN ACCORDANCE WIT	H GENE	ERALLY ACCE	PTED	AUDITING STA	NDARDS	AND S	O CERTIFIE	D? (If "NO", ex	olain scope	of audit)	
									•	·	,	
9. V	VERE ANY DISCREPANCIES OR LOO	SE PRA	CTICES COM	MENT	ED UPON IN TH	IS AUDIT	? (If "Y	ES", submit	a copy of the au	dit and aud	litor's comments).	
10. C	OOES AUDIT INCLUDE INVENTORY?											
11. A	RE REFERENCES OF ALL NEW HIRE	S CHEC	CKED WITH R	RESPE	CT TO EMPLOY	MENT H	STORY	?				
12. C	OOES AUDIT DEPARTMENT HAVE A F	ROGRA	M TO DETEC	T GHC	OST EMPLOYEE	S?						
13. 1	S PAYROLL SYSTEM AUDITED ANNU	ALLY?										
14. 1	S A COMPLETE PHYSICAL INVENTOR	RY MADI	E? (If "YES", h	now oft	ten):							
15. IS	S INVENTORY MADE BY PERSONS W	/HO DO	NOT HAVE C	USTO	DY CONTROL?							
16. IS	S A REQUISITION / SHIPPING ORDER	REQUI	RED FOR REI	MOVA	L OF GOODS FF	ROM STO	RERO	OM / WAREH	HOUSE?			

AGENCY CUSTOMER ID:

						AGENO	Y CUSTOME	R ID:			
CON	ITROLS AND AUD	OIT PROCED	URES - BANKI	ING / OTHER			LO	OC #:	BI	LDG #:	
	PLANATION REQUIRED										Y/N
1. A	ARE BANK ACCOUNT	S RECONCILE	D BY SOMEONE	NOT AUTHORIZE	D TO DEPOS	T OR WIT	ΓHDRAW?				
2. 19	S COUNTERSIGNATI	JRE OF CHEC	KS REQUIRED? I	F NOT, WHO SIG	NS CONTROL	S?:					_
3. WILL SECURITIES BE SUBJECT TO JOINT CONTROL OF TWO OR MORE RESPONSIBLE EMPLOYEES?											
4. ARE ALL OFFICERS AND EMPLOYEES REQUIRED TO TAKE ANNUAL VACATIONS OF AT LEAST FIVE CONSECUTIVE BUSINESS DAYS?											
5. IS THERE A WRITTEN POLICY REGARDING EFTS?											
6. W	VHAT IS THE LARGES	ST SINGLE AM	OUNT THAT CAN	BE TRANSFERR	ED?: \$						
7. P	RIOR TO FUNDS TRA	ANSFER, DOE	S FINANCIAL INS	TITUTION VERIFY	Y AUTHENTIC	ITY WITH	ANOTHER EM	PLOYEE?			
8. A	RE HARD COPIES O	F FUNDS TRA	NSFER CONFIRM	ATIONS RECEIVE	ED AND RECC	NCILED?	•				
9. F	REQUENCY OF DEP	OSITS:	DAILY								
10. A	RE DETAILED RECO	RDS OF BANK	DEPOSITS MAIN	TAINED?							
	IEY - SECURITIES  R THE EXPOSURES FOR		RY AMOUNTS ENT	FRED SHOULD BE I	MAXIMUM EXPO	SURF					
			CH	ECKS FOR	CHECKS F		PAYROL	L M	ONEY	SECURITIES	
	TYPE	MONEY		DEPOSIT	ACCOUNTS PA		CHECK		RNIGHT	(IN BANK / SAFE DE	
N	MEGGENGED #4	\$	\$		\$		\$	\$		\$	
	MECCENCED #2	\$ \$	\$		\$ \$		\$	\$			
	CHASING / RECE		TROLS								V (N
	RE DUTIES SEGREG										Y/N
	RE DEPARTMENTS		DV SOMEONE NO	T ALITHODIZED	TO DAY BILLS	2					+
								((D) (A) (A)			
	S RESPONSIBILITY F					MORE T	HAN ONE INDI	VIDUAL?			
4. IS	S ACTUAL RECEIPT (	OF MERCHANI	DISE VERIFIED BE	FORE PAYMENT	T IS MADE?						
5. IS	S A NUMBERED PUR	CHASE ORDE	R SYSTEM IMPLE	MENTED AND FO	OLLOWED?						
	IPUTER FRAUD ( PLANATION REQUIRED										Y/N
	O INTERNAL AUDIT		S INCLUDE COMP	UTER OPERATION	DNS?						
2. 18	S THERE AN EMPLO	YEE OR DEPAI	RTMENT WHOSE	SOLE DUTY IS S	ECURITY?						
3. A	RE SUSPICIOUS TRA	ANSACTIONS	REVIEWED AND I	NVESTIGATED?							
	S PHYSICAL ACCESS				RICTED TO A	ITHORIZ	ED PERSONNE	=1 2			
	PERTY			2011 111211 11201	10125 1070		ED I EROOMILE				
			DESCRIPTION	OF PROPERTY, ME	ERCHANDISE, S	госк, етс	i.			MAXIMUM VAL	JE
MISC	CELLANEOUS INF		CHECKS STAMPED		NIGHT	ANNILAI	GROSS SALES	DOES PREMISES HAV	/F		
E	BUSINESS HOURS	EMPLOYEES ON DUTY	FOR DEPOSIT ONLY (Y / N)	FREQUENCY OF DEPOSITS	DEPOSITORY USED (Y / N)	OR RE	CEIPTS FOR	DOUBLE CYLINDER DOOR LOCKS? (Y / N	ł	OTHER INFORMATION	

										AGENCY	CUSTO	MER	ID:						
SAF	E/VA	ULT	•									LOC	C#:		В	LDG #: _			
	-			IUFACTURER				LABEL	CLASS		OR TYPE		1		DN LOCKS THICKNE DOOR (EXCL BOLTWORK)				
										ROUNE	SQUA	ARE	OUTER	INNER					WALL
								UL											
					SMNA				7						$\rightarrow$				
								SMNA											
MES	SENG	ER	PROTECT	TION															
MESS'GR # OF GUARDS PER MESSENGER # OF ARMORED VEHICLES PRIVATE CONVEYANCE USED?		NCE USED?	s	SAFETY SATCHEL USED? (Y / N)				JARDS SENGEI			PRIVA CONVEYANC (Y / N	E USED?							
																]			
PRE	MISES	S / S	AFE PRO	TECTION										,					
ALARI	/I TYPE		ALARM DES	SCRIPTION	GRADE	EXTENT	OF PR	F PROTECTION ALA		INSTALLED	AND SER	VICED B	BY			# GUARDS	WAT	CHPE	RSONS
	OLD-UP			GONG		SAFE / VAUL										# WATCH PERSONS	$\vdash$		CENT ST
	REMISE AFE	S		RAL STATION E CONNECT	PARTIAL 1 2 3				+							PERSONS			K HRLY Γ SIGNAL
°	AFE		WITH		ACCESSIBLE	OPENINGS		OTECTION				отн	ER PROTE	CTION (Fe	ences, Floodlig	hts, etc)		DON	I SIGNAL
CERTI	FICATE	NUME		-															
	ATION D																		
LOC #	LOYE	E S		(Complete							TITLE				LIMIT			EDUCT	IDI E
#				NAME OF LIME	OTELS TO BE	COVERED						_			LIWIT			_DOC1	IDLL

	AGENCY CUSTOMER ID:							
ADE	DITIONAL LOCATIONS (Complete ACORD 141 for each location)	LOC #:	BLDG #:					
LOC #	ADDRE	:ee						
#	ADDAL							
REN	MARKS (ACORD 101, Additional Remarks Schedule, may be attached if r	nore space is required)						
	IY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE CO							
	ATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONC CT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CR							
	NALTIES. (Not applicable in CO, DC, FL, HI, KS, MA, MN, NE, OH, OK, OR, VT or WA; in LA							
IN T	THE DISTRICT OF COLUMBIA, WARNING: IT IS A CRIME TO PROVIDE FALSE OR MISL	EADING INFORMATION TO AN INSUI	RER FOR THE PURPOSE OF DEFRAUDI	NG				
	IE INSURER OR ANY OTHER PERSON. PENALTIES INCLUDE IMPRISONMENT AND/OLLSE INFORMATION MATERIALLY RELATED TO A CLAIM WAS PROVIDED BY THE APPL		ER MAY DENY INSURANCE BENEFITS	, IF				

IN FLORIDA, ANY PERSON WHO KNOWINGLY AND WITH INTENT TO INJURE, DEFRAUD, OR DECEIVE ANY INSURER FILES A STATEMENT OF CLAIM OR AN APPLICATION CONTAINING ANY FALSE, INCOMPLETE, OR MISLEADING INFORMATION IS GUILTY OF A FELONY OF THE THIRD DEGREE.

IN KANSAS, ANY PERSON WHO, KNOWINGLY AND WITH INTENT TO DEFRAUD, PRESENTS, CAUSES TO BE PRESENTED OR PREPARES WITH KNOWLEDGE OR BELIEF THAT IT WILL BE PRESENTED TO OR BY AN INSURER, PURPORTED INSURER, BROKER OR ANY AGENT THEREOF, ANY WRITTEN STATEMENT AS PART OF, OR IN SUPPORT OF, AN APPLICATION FOR THE ISSUANCE OF, OR THE RATING OF AN INSURANCE POLICY FOR PERSONAL OR COMMERCIAL INSURANCE, OR A CLAIM FOR PAYMENT OR OTHER BENEFIT PURSUANT TO AN INSURANCE POLICY FOR COMMERCIAL OR PERSONAL INSURANCE WHICH SUCH PERSON KNOWS TO CONTAIN MATERIALLY FALSE INFORMATION CONCERNING ANY FACT MATERIAL THERETO; OR CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT.

IN MASSACHUSETTS, NEBRASKA, OREGON AND VERMONT, ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR ANOTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING INFORMATION CONCERNING ANY FACT MATERIAL THERETO, MAY BE COMMITTING A FRAUDULENT INSURANCE ACT, WHICH MAY BE A CRIME AND MAY SUBJECT THE PERSON TO CRIMINAL AND CIVIL PENALTIES.

IN WASHINGTON, IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE, OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES INCLUDE IMPRISONMENT, FINES, AND DENIAL OF INSURANCE BENEFITS.